

PTO/SB/21 (modified)
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•	TEMP SEE	Pate	ent ar	id Tra	demark Office: U	.S. DEPARTME	NT OF COM	MERCE	1
0001/PTO U.S. Separtheer of Commerce Rev. 10/95 Patent and Trademark Office		Application Number		on Number	09/428,284			ECEIVED	
			Filing Date		te	October 27, 1	999	FEB	8 2000
TRANSMITTAL FORM			First Named Inventor		ned Inventor	Andrew D. Ho	olmes		
(to be used for all correspondence during pendency of filed application)			Group Art Unit Number		t Unit Number	2787 '		Group	2700
				Examiner Name		Unknown			#5
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✓ Copies of IDS Cited References				Certified Copy of Priority Document(s)					
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Attorney/Reg. No.:	Amir H. Raubvogel,	Reg. No. 37	7,070			Dated:	February 1	1, 2000	
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IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANS: .-

Andrew D. Holmes, Lee Horigan, Jeffrey A. Langston, ~

David McMurtry, Sylvain Tremblay and Raymond P. Trounday —

SERIAL NO.: ~

09/428,284

FILING DATE: ~

October 27, 1999 —

TITLE: _

TRACKING MULTIPLE EXCHANGE RATES -

EXAMINER: -

Unknown —

GROUP ART UNIT:∽

2787 ~

ATTY. DKT. NO .:

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: February 11, 2000

By:

Amir H. Raubvogel, Reg. No. 37,070

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

	appli are h	cation S ereby re	erial No ference	es, under 35 U.S.C. § 120, on the earlier filing date of prior of the second of the se						
	37 C	FR § 1.9	8(d).	Group <u>2</u> 700						
The In	nforma	tion Dis	closure	Statement submitted herewith is being filed:						
	Ø			nonths of the filing date of the application, or date of entry						
				nal stage of an international application, or before the mailing official action on the merits, whichever event last occurred;						
		after three months of the filing date of this national application or the date								
		of entry of the national stage in an international application, or after the								
		mailin	g date o	of the first official action on the merits, whichever event last						
		occurr	ed, but l	before the mailing date of the first to occur of either:						
		(1)	a fina	l action under 37 CFR §1.113; OR						
		 (2) a notice of allowance under 37 CFR §1.311; AND □ attached hereto is the fee of \$240, as set forth under 37 CFR 								
			attached hereto is the fee of \$240, as set forth under 37 CFR							
			§1.17	§1.17(p), for submission of this Information Disclosure Statement						
				37 CFR.§ 1.97(c); OR						
			Appli —	cant certifies pursuant to 37 CFR § 1.97(e) that:						
				each item of information contained in this Information						
				Disclosure Statement was cited in a communication from a						
				foreign patent office in a counterpart foreign application not						
				more than three months prior to the filing of this Statement; OR						
				no item of information contained in this Information						
				Disclosure Statement was cited in a communication from a						
				foreign patent office in a counterpart foreign application or,						
				to the knowledge of the person signing this certification						
				after making reasonable inquiry, was known to any						
				individual designated under 37 CFR § 1.56(c) more than						
				three months prior to the filing of this Statement.						
		OR								
			the payment of the issue fee but after the mailing date of the first to of either:							
		ſ11	a fina	l action under 37 CFR § 1.113: OR						

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•	[2]	a notice of allowance under 37 CFR § 1.311; AND				
		in accordance with the requirements of 37 CFR § 1.97(d):				
		Applicant certifies pursuant to 37 CFR. § 1.97(e) that:				
		ach item of information contained in this Information				
		Disclosure Statement was cited in a communication from a				
		foreign patent office in a counterpart foreign application not				
		more than three months prior to the filing of this Statement; OR				
		no item of information contained in this Information				
		Disclosure Statement was cited in a communication from a				
		foreign patent office in a counterpart foreign application or,				
		to the knowledge of the person signing this certification				
		after making reasonable inquiry, was known to any				
		individual designated under 37 CFR § 1.56(c) more than				
		three months prior to the filing of this Statement; AND				
		Applicant hereby respectfully petitions for the consideration of				
		the accompanying Information Disclosure Statement under 37 CFR				
		§ 1.97(d)(2); AND				
		Applicant submits the petition fee of \$130 as set forth in 37 CFR §				
		1.17(i).				
☑ At	mlicant sub	bmits that no fee is required for the consideration of the				
•	-	Disclosure Statement.				
accompanying in	ioimation i	Disclosure Statement.				
Considera	tion of the	listed references and favorable action are solicited.				
		Respectfully submitted,				
		Andrew D. Holmes, Lee Horigan, Jeffrey A.				
		Langston, David McMurtry, Sylvain Tremblay				
		and Raymond P. Trounday				
Dated: Februar	y 11, 2000	By: Dan				
		Amir H. Raubvogel, Reg. No. 37,070				
		Fenwick & West LLP Two Palo Alto Square				
		Palo Alto, CA 94306				
		Tel.: (650) 858-7276				
		Fax.: (650) 494-1417				



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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 6-89) Patent and Trademark Office					Attomey's Docket No. 3894 Sheet 1 of 1 09/428,284				
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		Canadian Money 99 Campanion, Document No. X03-72396, pp. 1-92, © 1991-1998. "Strategies for Success, 10 Ways to Use Money Effectively, Microsoft Corporation, The Canadian Money 2000 Companion, Document No. X04-88924, pp. 1-105, © 1999.							
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EXAMINER: Initial if refundation in Include copy of this form				nformance with MP	EP § 609; Draw line through o	citation if not in	conformance ar	nd not considere	∌d.

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